

Name of warrant: VIC.KIS.M.CA.T.07
Company name: KIS Viet Nam Securities Corporation
Subject: Decision on the change of listing

Content:

On January 08, 2021, the Hochiminh Stock Exchange issued Decision No.8/QD-SGDHCM to allow KIS Viet Nam Securities Corporation to change the listing as follow:

Issuer:	KIS Viet Nam Securities Corporation
Short name:	KIS
Security type:	covered warrant
Warrant name:	Warrant VIC.KIS.M.CA.T.07
Warrant code:	CVIC2005
Issuer of underlying security:	Vingroup Joint Stock Company
Underlying security:	VIC
Warrant type (call/put):	Call
Warrant style:	European-style
Method of exercise:	Cash
Duration:	9 months
Expiration date:	June 11, 2021
Conversion ratio:	10 : 1
Exercise price (exercise index):	106,868. VND
Number of warrants before increase/decrease:	3,000,000
Number of warrants increased/decreased:	3,000,000
Total number of warrants after increase/decrease:	6,000,000
Effective date of change:	January 12, 2021
Official trading date:	January 12, 2021
Reason of change:	Additional offering of covered warrants